

## A polynomial invariant of rational homology 3-spheres

**Tomotada Ohtsuki**

Department of Mathematical Sciences, University of Tokyo, Hongo, Tokyo 113, Japan

Oblatum IX-1994 & 24-VII-1995

### Introduction

In [21] Witten proposed topological invariants of a compact oriented 3-manifold  $M$ , what we call quantum  $G$  invariants, which are formally expressed by

$$Z_k(M, G) = \int \exp \left( \frac{\sqrt{-1}k}{4\pi} \int_M \text{Tr} \left( A \wedge dA + \frac{2}{3} A^3 \right) \right) \mathcal{D}A$$

where  $k$  is an integer and  $G$  is a compact Lie group and the first integral is over all  $G$  connections, what we call the Feynman path integral. Since the set of  $G$  connections is infinitely dimensional, this integral is purely formal from a mathematical viewpoint. By perturbation theory the asymptotic formula of  $Z_k(M, G)$  was studied for large  $k$  limit [1, 2, 12]. It is power series in  $k^{-1}$  and each coefficient is a sum of contributions from flat connections.

In [17] Reshetikhin and Turaev gave a mathematical definition of quantum  $SU(2)$  invariants for closed oriented 3-manifolds and positive  $k$ . We denote them by  $\tau_r(M)$ , which correspond to  $Z_{r-2}(M, SU(2))/Z_{r-2}(S^3, SU(2))$ . For odd  $r$ , Kirby and Melvin [9] defined quantum  $SO(3)$  invariants  $\tau'_r(M)$  and showed that  $\tau_r(M)$  splits into the product of  $\tau'_r(M)$  and  $\tau_3(M)$ .

Our motivation is to construct power series invariant of a closed oriented 3-manifold  $M$  corresponding to the above asymptotic formula of  $Z_k(M, SO(3))$  by expanding  $\tau'_r(M)$  into power series in  $q - 1$  where  $q = \exp(2\pi\sqrt{-1}/r)$ .

Throughout this paper we put  $r$  to be an odd prime. In this case H. Murakami [14] showed that  $\tau'_r(M)$  belongs to  $\mathbb{Z}[q]$ . Hence we can put

$$\tau'_r(M) = a_{r,0} + a_{r,1}(q - 1) + a_{r,2}(q - 1)^2 + \cdots + a_{r,N}(q - 1)^N,$$

---

*Current address:* Department of Mathematical and Computing Sciences, Tokyo Institute of Technology, Oh-okayama, Meguro-ku, Tokyo 152, Japan.

with some integers  $a_{r,n}$ 's. We can see that, for  $0 \leq n \leq r - 2$ ,  $(a_{r,n} \bmod r) \in \mathbb{Z}/r\mathbb{Z}$  is a topological invariant which is uniquely determined by  $\tau'_r(M)$ , since the ring  $\mathbb{Z}[q]$  is isomorphic to  $\mathbb{Z}[t]/T(t)$  where

$$\begin{aligned} T(t) &= 1 + t + t^2 + \dots + t^{r-1} \\ &= \binom{r}{1} + \binom{r}{2}(t-1) + \binom{r}{3}(t-1)^2 + \dots + \binom{r}{r}(t-1)^{r-1} \end{aligned}$$

noting that  $r$  is an odd prime.

Our aim is to show that there exist series of topological invariants  $\lambda_n(M)$  of a rational homology 3-sphere  $M$  such that  $(\frac{|H_1(M; \mathbb{Z})|}{r})\lambda_n(M)$  is congruent to  $a_{r,n}$  modulo  $r$  for any odd prime  $r$  satisfying  $r \geq \max(2n + 3, |H_1(M; \mathbb{Z})|)$ , where  $|H_1(M; \mathbb{Z})|$  is the order of  $H_1(M, \mathbb{Z})$  and  $(\frac{\cdot}{r})$  is the Legendre symbol. By our approach we can define  $\lambda_n(M)$  for any closed oriented 3-manifold, but we can show that  $\lambda_n(M)$  vanishes unless  $M$  is a rational homology 3-sphere. Our results for integral homology 3-spheres were already obtained in the previous paper [16].

In Sect. 1 we state main results. The following Sects. 2, 3 and 4 are devoted to proving the main theorem. In Sect. 5 we give the values of our invariant for lens spaces. Results in Sect. 5 suggests that our invariant  $\tau(M)$  might describe the asymptotic behavior of quantum  $SO(3)$  invariant  $\tau'_r(M)$ , see Example 1.6 and Remark 5.3.

### 1. Main results

Let  $M$  be a rational homology 3-sphere. Instead of quantum  $SU(2)$  invariants  $\tau_r(M)$  defined by Reshetikhin and Turaev [17], we use quantum  $SO(3)$  invariants  $\tau'_r(M)$  defined by Kirby and Melvin [9, Corollary 8.9], see Remark 2.2 below. H. Murakami [14] showed that  $\tau'_r(M)$  belongs to  $\mathbb{Z}[q]$  ( $q = \exp(2\pi\sqrt{-1}/r)$ ) for any odd prime  $r$  and any rational homology 3-sphere  $M$ .

Let  $\mathbb{Z}_{(m)}$  be  $\mathbb{Z}[1/2, 1/3, \dots, 1/m]$ . We mean the order of  $H_1(M; \mathbb{Z})$  by  $|H_1(M; \mathbb{Z})|$ .

The following theorem is our main theorem, which is proved in the following section.

**Theorem 1.1.** *Let  $M$  be a rational homology 3-sphere. Then there exist series of topological invariants of  $M$ ,  $\lambda_n = \lambda_n(M) \in \mathbb{Z}_{(\max\{|H_1(M; \mathbb{Z})|, 2n+1\})}$  for  $n = 0, 1, 2, \dots$  such that for any odd prime  $r$  which is greater than  $|H_1(M; \mathbb{Z})|$  the following formula holds;*

$$\begin{aligned} \tau'_r(M) &= \left( \frac{|H_1(M; \mathbb{Z})|}{r} \right) \\ &\times \{ \underline{\lambda}_0 + \underline{\lambda}_1(q-1) + \underline{\lambda}_2(q-1)^2 + \dots + \underline{\lambda}_{(r-3)/2}(q-1)^{(r-3)/2} \} \\ &+ u(q-1)^{(r-1)/2} \end{aligned} \tag{1.1}$$

with some  $u \in \mathbb{Z}[q]$ , where  $(\frac{\cdot}{r})$  is the Legendre symbol. Here  $\underline{\lambda}_n$  is the image of  $\lambda_n$  by the following map;

$$\mathbb{Z}_{(\max\{|H_1(M;\mathbb{Z})|, 2n+1\})} \xrightarrow{i} \mathbb{Z}_r \xrightarrow{p} \mathbb{Z}/r\mathbb{Z} \xrightarrow{\cong} \{0, 1, \dots, r-1\}$$

where  $\mathbb{Z}_r$  is the ring of  $r$ -adic integers and  $i$  is the natural inclusion and  $p$  is the projection, that is,  $\underline{\lambda}_n$  is a reduction of  $\lambda_n$  modulo  $r$ .

Note that the right-hand side of (1.1) is an expansion not in the ordinary topology but in  $r$ -adic topology. In fact, since  $u$  depends on  $r$ , the residue term  $u(q-1)^{(r-1)/2}$  is not necessarily small, though it is small in  $r$ -adic topology; note that  $(q-1)^{r-1}$  is divisible by  $r$  in  $\mathbb{Z}[q]$ . We will discuss about a relation between  $\lambda_n$ 's and the asymptotic behavior of  $\tau'_r(M)$  in the ordinary topology in Example 1.6 below. It would be necessary to take reduction modulo  $r$  in order to get coefficients in the expansion of the sequence  $\tau'_r(M)$  which are independent of  $r$ , since the sequence splits into some converging subsequences in the ordinary topology, as shown in Example 1.6 below.

We also note that we expand  $\tau'_r(M)$  as a power series not in  $h$  putting  $h = 2\pi\sqrt{-1}/r$  but in  $q-1$  because of technical request that  $q-1$  is small in  $r$ -adic topology while  $h$  is big.

**Definition 1.2.** We define a topological invariant  $\tau(M)$  for any oriented rational homology 3-sphere  $M$  by

$$\tau(M) = \sum_{n=0}^{\infty} \lambda_n (t-1)^n$$

which is formal power series in  $t-1$  with coefficients in  $\mathbb{Q}$ .

*Remark 1.3.* In the same way as in this paper, we can define the above  $\tau(M)$  for any closed oriented 3-manifold  $M$ . However one can prove that  $\tau(M) = 0$  unless  $M$  is a rational homology 3-sphere.

*Remark 1.4.* By H. Murakami's results [14], we have

$$\begin{aligned} \lambda_0 &= 1/|H_1(M; \mathbb{Z})|, \\ \lambda_1 &= 6\lambda(M)/|H_1(M; \mathbb{Z})|, \end{aligned}$$

where  $\lambda(M)$  is Casson–Walker invariant [19]. Here we use Casson's normalization, which is half times Walker's definition.

We can immediately obtain the following proposition from properties of  $\tau'_r(M)$  [9].

**Proposition 1.5.**  $\tau(M)$  satisfies the following properties;

$$\begin{aligned} \tau(S^3) &= 1 \\ \tau(M_1 \# M_2) &= \tau(M_1)\tau(M_2) \\ \tau(-M)(t) &= \tau(M)(t^{-1}) \end{aligned}$$

where  $M_1 \# M_2$  is the connected sum of  $M_1$  and  $M_2$ , and  $-M$  is  $M$  with the opposite orientation.

We might have more well-behaved coefficients instead of  $\lambda_n$ 's if we expand  $\tau(M)$  as power series in  $\hbar$  putting  $t = e^\hbar = 1 + \hbar + \frac{1}{2}\hbar^2 + \dots \in \mathbb{Q}[[\hbar]]$ , to describe difference between  $\tau(M)$  and  $\tau(-M)$ .

*Example 1.6.* We see a relation between  $\tau(M)$  and asymptotic behavior of  $\tau'_r(M)$  in the case that  $M$  is a lens space  $L(5, 1)$ . We have quantum  $SO(3)$  invariant of  $L(5, 1)$  (see [5], [10]) as

$$\begin{aligned} \tau'_r(L(5, 1)) &= \left(\frac{5}{r}\right) q^{-3 \cdot \bar{5}} \frac{q^{\bar{10}} - q^{-\bar{10}}}{q^{\bar{2}} - q^{-\bar{2}}} \\ &= \left(\frac{5}{r}\right) (\bar{5} - 3 \cdot \bar{5}^2(q - 1) + 11 \cdot \bar{5}^3(q - 1)^2 + \dots) \end{aligned}$$

where we mean the inverse of  $m$  in  $\mathbb{Z}/r\mathbb{Z}$  by  $\bar{m}$ . By comparing the above formula with

$$t^{-3/5} \frac{t^{1/10} - t^{-1/10}}{t^{1/2} - t^{-1/2}} = \frac{1}{5} - \frac{3}{5^2}(t - 1) + \frac{11}{5^3}(t - 1)^2 + \dots,$$

we obtain  $\tau(M) = t^{-3/5}(t^{1/10} - t^{-1/10})/(t^{1/2} - t^{-1/2}) \in \mathbb{Q}[[t - 1]]$  by Theorem 1.1.

Note that  $q^{\bar{10}}$  is not necessarily equal to  $q^{1/10}$ . The sequence of  $q^{\bar{10}}$  for  $r = 7, 11, 13, \dots$  splits into subsequences, which correspond to subsequences of  $r$  consisting of primes which are congruent to 1, 3, 7 and 9 modulo 10. For a subsequence of primes  $r$  which are congruent to  $-1$  modulo 10, we can put  $\bar{10} = (r + 1)/10$  and obtain  $q^{\bar{10}} = \zeta q^{1/10}$  where we put  $\zeta = \exp(2\pi\sqrt{-1}/10)$  and regard  $\exp(2\pi\sqrt{-1}/10r)$  as  $q^{1/10}$ . Since  $\left(\frac{5}{r}\right) = 1$  holds for these primes, we have asymptotic behavior of  $\tau'_r(L(5, 1))$  for these primes as

$$\begin{aligned} \tau'_r(L(5, 1)) &= \zeta^{-6} e^{-3h/5} \frac{\zeta e^{h/10} - \zeta^{-1} e^{-h/10}}{\zeta^5 e^{h/2} - \zeta^{-5} e^{-h/2}} \\ &= \tau(L(5, 1))|_{t^{1/10} = \zeta e^{h/10}} \end{aligned}$$

for primes  $r$  which are congruent to  $-1$  modulo 10, where  $h = 2\pi\sqrt{-1}/r$ . Since  $\lambda_n$ 's appear in the expansion of  $\tau(L(5, 1))$  at  $t^{1/10} = e^{h/10}$ , the above asymptotic behavior can not be described using finite  $\lambda_n(L(5, 1))$ 's, though we can describe it using  $\tau(L(5, 1))$  at  $t^{1/10} = \zeta e^{h/10} \in \mathbb{Q}[[t - 1]]$ .

If we put  $q = \exp(10\pi\sqrt{-1}/r)$  in the definition of  $\tau'_r(L(5, 1))$  instead of putting  $q = \exp(2\pi\sqrt{-1}/r)$ , we have  $q^{\bar{10}} = (q^{\bar{5}})^2 = \exp(2\pi\sqrt{-1}/r)^{(r+1)/2} = -e^{h/10}$  where  $h = 10\pi\sqrt{-1}/r$ . Hence we have

$$\begin{aligned} \tau'_r(L(5, 1))|_{q=e^h=\exp(10\pi\sqrt{-1}/r)} &= e^{-3h/5} \frac{e^{h/10} - e^{-h/10}}{e^{h/2} - e^{-h/2}} \\ &= \tau(L(5, 1))|_{t=e^h} \\ &= (\lambda_0 + \lambda_1(t - 1) + \lambda_2(t - 1)^2 + \dots)|_{t=e^h} \\ &= \lambda_0 + \lambda_1 h + \left(\frac{\lambda_1}{2} + \lambda_2\right) h^2 + \dots \end{aligned}$$

Therefore we can describe the asymptotic behavior of this series of quantum  $SO(3)$  invariants using  $\lambda_n$ 's.

In general we could expect that the sequence of  $\tau'_r(M)$  splits into subsequences whose asymptotic behavior can be described as  $\tau(M)|_{t^{1/m}=\zeta e^{h/m}}$  where  $m$  is an integer somehow related to  $|H_1(M; \mathbb{Z})|$  and  $\zeta$  is an  $m$ -th root of unity. We could also expect that the first  $n$  coefficients in the expansion of the series  $\tau'_r(M)|_{q=e^h}$  (where  $h = 2m'\pi\sqrt{-1}/r$  with some  $m'$  somehow related to  $|H_1(M; \mathbb{Z})|$ ) are linearly related to  $\lambda_0, \dots, \lambda_{n-1}$ , through a coordinate change between a power series in  $q - 1$  and a power series in  $h$ . These two observations are true for every lens space  $L(\alpha, \beta)$  putting  $m = 2\alpha$  and  $m' = \alpha$ , see Sect. 5.

*Notations.* In this paper we use the same notations as in [16].

Throughout this paper  $r$  is an odd prime. We set  $q = \exp(2\pi\sqrt{-1}/r)$  and  $q^{1/2} = \exp(\pi\sqrt{-1}/r)$ . We also put  $[k] = (q^{k/2} - q^{-k/2}) / (q^{1/2} - q^{-1/2})$ . We denote the inverse of  $m \in \mathbb{Z}/r\mathbb{Z}$  in  $\mathbb{Z}/r\mathbb{Z}$  by  $\bar{m} \in \mathbb{Z}/r\mathbb{Z}$ . For non-zero integer  $f$  we put  $\text{sign}(f) = f/|f|$ . We denote by  $(\frac{\cdot}{r})$  the Legendre symbol. We put  $G(q)$  to be Gauss sum;  $\sum_{k=0}^{r-1} q^{k^2}$ . We set  $\mathbb{Z}_{(m)} = \mathbb{Z}[1/2, 1/3, \dots, 1/m]$ .

We express a higher term in power expansion in  $q - 1$  in the following way. We denote

$$\dots + u(q - 1)^k \quad \text{with some } u \in R[q]$$

by

$$\dots + O((q - 1)^k; R)$$

where  $R$  is  $\mathbb{Z}$  or  $\mathbb{Z}_{(r-1)}$ .

We use bold faces (e.g.  $\mathbf{k}$ ) for multi-indices. For  $\mathbf{k} = (k_1, k_2, \dots, k_\mu)$ ,  $\mathbf{j} = (j_1, j_2, \dots, j_\mu)$  and a scalar  $x$ , we put

$$\begin{aligned} |\mathbf{k}| &= k_1 + k_2 + \dots + k_\mu, \\ \#\mathbf{k} &= \mu, \\ \mathbf{k}! &= k_1!k_2! \dots k_\mu!, \\ [\mathbf{k}] &= [k_1][k_2] \dots [k_\mu], \\ \mathbf{k} + \mathbf{j} &= (k_1 + j_1, k_2 + j_2, \dots, k_\mu + j_\mu), \\ \mathbf{k} \mathbf{j} &= (k_1j_1, k_2j_2, \dots, k_\mu j_\mu), \\ \binom{\mathbf{k}}{\mathbf{j}} &= \binom{k_1}{j_1} \binom{k_2}{j_2} \dots \binom{k_\mu}{j_\mu}, \\ x\mathbf{k} &= (xk_1, xk_2, \dots, xk_\mu), \\ x^{\mathbf{k}} &= x^{k_1}x^{k_2} \dots x^{k_\mu}, \\ \mathbf{x} &= (x, x, \dots, x). \end{aligned}$$

We mean  $k_1 > j_1, k_2 > j_2, \dots, k_\mu > j_\mu$  by  $\mathbf{k} > \mathbf{j}$  and  $k_1 > x, k_2 > x, \dots, k_\mu > x$  by  $\mathbf{k} > x$ .

Let  $L = L_1 \cup L_2 \cup \dots \cup L_\mu$  be an oriented link in  $S^3$ , and let  $\mathcal{L} = (L, \mathbf{f})$  be a framed link with framing  $\mathbf{f} = (f_1, f_2, \dots, f_\mu)$ .

We denote by  $\#L$  the number of components of  $L$ . We put  $\sigma(L)$  to be the signature of the linking matrix of  $\mathcal{L}$ . We put  $\hat{\sigma}(\mathcal{L})$  to be the number of positive eigenvalues of the linking matrix of  $\mathcal{L}$  or  $\#L$  according to whether  $r \equiv 1$  or  $-1 \pmod{4}$ .

For a multi-index  $\mathbf{m} = (m_1, m_2, \dots, m_\mu)$  we denote by  $L^{\mathbf{m}}$  the  $\mathbf{m}$ -parallel of  $L$  with  $\mathbf{0}$ -framing; it is a  $|\mathbf{m}|$  component link consisting of a union of  $m_\xi$  parallel copies of  $L_\xi$  and a linking number of any two components among the same  $m_\xi$  copies is zero. In particular we define  $L^{\mathbf{0}}$  to be empty link.

Let  $V(L; t)$  be the Jones polynomial [7]. We put

$$X(L; t) = V(L; t)/(t^{1/2} + t^{-1/2})^{\#L-1},$$

noting that  $X(L; t)$  belongs to  $\mathbb{Z}[t, t^{-1}, 1/(t+1)]$  and  $X(L; q)$  belongs to  $\mathbb{Z}[q]$  since  $q+1$  is invertible in  $\mathbb{Z}[q]$ . We define  $X(\text{empty link}; t)$  to be 1. We put

$$X^{(d)}(L) = \left( \frac{d}{dt} \right)^d X(L; t) \Big|_{t=1}.$$

Moreover we put

$$\Phi(L; t) = (-1)^{\#L} + \sum_{L' \subset L} (-1)^{\#L - \#L'} X(L'; t)$$

where the sum runs over all sublinks of  $L$  except empty link. (The first term corresponds to a contribution from empty link.) We define  $\Phi(\text{empty link}; t)$  to be 0. We also put

$$\Phi^{(d)}(L) = \left( \frac{d}{dt} \right)^d \Phi(L; t) \Big|_{t=1}.$$

Note that  $X^{(d)}(L)/d!$  and  $\Phi^{(d)}(L)/d!$  belong to  $\mathbb{Z}[1/2]$  since they are coefficients of Taylor expansion of  $X(L; t)$  and  $\Phi(L; t)$  at  $t = 1$  respectively.

## 2. Proof of Theorem 1.1

We begin with the definition of quantum  $SO(3)$  invariants  $\tau'_r(M)$ .

Let  $L = L_1 \cup \dots \cup L_\mu$  be an oriented link in  $S^3$ . We call  $L$  algebraically split if  $\text{lk}(L_\xi, L_\nu) = 0$  for any  $\xi$  and  $\nu$  where  $\text{lk}(L_\xi, L_\nu)$  is the linking number of  $L_\xi$  and  $L_\nu$ . A framed link  $\mathcal{L} = (L, \mathbf{f})$  is a link  $L$  with framing  $\mathbf{f} = (f_1, f_2, \dots, f_\mu)$ ,  $f_\xi \in \mathbb{Z}$ .

Let  $M$  be a closed oriented 3-manifold obtained by integral surgery along a framed link  $\mathcal{L} = (L, \mathbf{f})$ .

**Theorem and Definition 2.1** [9, Corollary 8.9]. *For any odd  $r$ , put  $\tau'_r(M)$  to be*

$$\left(\frac{2}{\sqrt{r}} \sin \frac{\pi}{r}\right)^\mu \exp\left(2\pi\sqrt{-1} \cdot \frac{\pm\sigma(\mathcal{L})}{8}\right) \exp\left(-2\pi\sqrt{-1} \cdot \frac{3(r-2)}{8r} \cdot \sigma(\mathcal{L})\right) \times \sum_{\mathbf{k}=1}^{(r-1)/2} \sqrt{-1}^r {}^{tE_{\mathbf{k}}4E_{\mathbf{k}}}[\mathbf{k}]J_{\mathcal{L},\mathbf{k}} \tag{2.1}$$

where the  $+$  or  $-$  sign is chosen according to whether  $r \equiv 3$  or  $r \equiv 1 \pmod{4}$  and  $\mu$  is the number of components in  $\mathcal{L}$ ,  $A$  is the linking matrix of  $\mathcal{L}$ ,  $\sigma(\mathcal{L})$  is the signature of  $A$ .  $E_{\mathbf{k}} = (E_1(k_1), E_2(k_2), \dots, E_\mu(k_\mu))$  with  $E_\xi(k_\xi) = 1$  if  $k_\xi$  is even and 0 otherwise, and  $J_{\mathcal{L},\mathbf{k}}$  is the colored framed link invariant (see [9]). Then  $\tau'_r(M)$  does not depend on a choice of  $\mathcal{L}$  and becomes a topological invariant of a closed oriented 3-manifold  $M$ . We call  $\tau'_r(M)$  a quantum  $SO(3)$  invariant of  $M$ .

*Remark 2.2.* As in [9],  $\tau'_r(M)$  satisfies the following property for odd  $r$ ;

$$\tau_r(M) = \begin{cases} \overline{\tau_3(M)} \tau'_r(M) & \text{if } r \equiv 1 \pmod{4} \\ \tau_3(M) \tau'_r(M) & \text{if } r \equiv -1 \pmod{4} \end{cases}$$

where  $\tau_r(M)$  is the quantum  $SU(2)$  invariant. Note that  $\tau_3(M) = 1$  for any integral homology 3-sphere  $M$ , and so Theorem 1.1 is a generalization of the corresponding result in [16].

In this paper we use  $\tau'_r(M)$  instead of  $\tau_r(M)$  by the following three reasons. Firstly  $\tau_r(M)$  is easily obtained from  $\tau'_r(M)$  since  $\tau_3(M)$  is a well-known invariant. In fact  $\tau_3(M)$  depends only on cohomology ring structure of  $M$ , see [15]. Note that  $\tau'_r(M)$  is not necessarily obtained from  $\tau_r(M)$  since  $\tau_3(M)$  sometimes vanishes. Secondly  $\tau'_r(M)$  is more well-behaved than  $\tau_r(M)$  from our viewpoint as in Remark 1.4. For example,  $\tau'_r(M)$  always belongs to  $\mathbb{Z}[q]$ , but  $\tau_r(M)$  does not necessarily so. Thirdly  $\tau'_r(M)$  might be more suitable when we investigate the asymptotic formula of quantum invariants, see Remark 5.3.

Under the assumption that  $r$  is odd prime.  $L$  is algebraically split and  $M$  is a rational homology 3-sphere, H. Murakami [14] modified (2.1), to obtain the following formula;

$$\tau'_r(M) = (-1)^{\tilde{\sigma}(\mathcal{L})} q^{3 \cdot \bar{4}\sigma(\mathcal{L}) - \bar{2}\mu} \left(\frac{q-1}{G(q)}\right)^\mu \sum_{\mathbf{k}=1}^{(r-1)/2} q^{\bar{4}\text{fr}(\mathbf{k}^2-1)}[\mathbf{k}] \times \sum_{\mathbf{j}=0}^{(\mathbf{k}-1)/2} (-1)^{\mathbf{j}} \binom{\mathbf{k}-\mathbf{j}-\mathbf{1}}{\mathbf{j}} [2]^{\mathbf{k}-2\mathbf{j}-1} X(L^{\mathbf{k}-2\mathbf{j}-1}; q) \tag{2.2}$$

where  $\tilde{\sigma}(\mathcal{L})$  is defined in Sect. 1. In order to use this formula we must assume that  $M$  is obtained by integral surgery along an algebraically split framed link. Hence we must reduce the case of general  $M$  to the case of such  $M$ .

Now we reduce Theorem 1.1 to the following proposition, which is proved using (2.2) in the following section.

**Proposition 2.3.** *Let  $M$  be a rational homology 3-sphere obtained by integral surgery along an algebraically split framed link  $\mathcal{L}$ . Then there exist  $\lambda_n = \lambda_n(M, \mathcal{L}) \in \mathbb{Z}_{(\max\{|H_1(M; \mathbb{Z})|, 2n+1\})}$  for  $n = 0, 1, 2, \dots$  such that for any odd prime  $r$  which does not divide  $|H_1(M; \mathbb{Z})|$  the following formula holds;*

$$\begin{aligned} \tau'_r(M) &= \left( \frac{|H_1(M; \mathbb{Z})|}{r} \right) \\ &\times \{ \lambda_0 + \lambda_1(q-1) + \lambda_2(q-1)^2 + \dots + \lambda_{(r-3)/2}(q-1)^{(r-3)/2} \} \\ &+ O((q-1)^{(r-1)/2}; \mathbb{Z}_{(r-1)}). \end{aligned} \tag{2.3}$$

*Proof of Theorem 1.1 assuming Proposition 2.3.*

*Case 1.* If  $M$  can be obtained by integral surgery along some algebraically split framed link  $\mathcal{L}$ , by Proposition 2.3 we immediately obtain (1.1) for  $\lambda_n = \lambda_n(M, \mathcal{L})$ , noting that  $\tau'_r(M)$  belongs to  $\mathbb{Z}[q]$  (see [14]). By topological invariance of  $\tau'_r(M)$ ,  $\lambda_n$  is a topological invariant of  $M$  for infinitely many  $r$ . Hence each  $\lambda_n$  is a topological invariant of  $M$ , i.e. does not depend on a choice of  $\mathcal{L}$ . Therefore we obtain Theorem 1.1 in this case.

*Case 2.* If  $M$  can not be obtained by integral surgery along any algebraically split framed link, we can reduce this case to Case 1 by obtaining (2.3) as follows.

By Corollary 2.5 below, there exist lens spaces  $L(n_1, 1), L(n_2, 1), \dots, L(n_\nu, 1)$  with  $|n_\xi| \leq |H_1(M; \mathbb{Z})|$  such that  $M' = M \# L(n_1, 1) \# \dots \# L(n_\nu, 1)$  can be obtained by integral surgery along some algebraically split framed link  $\mathcal{L}$ . Since the quantum  $SO(3)$  invariant is multiplicative under connected sum [9], we have

$$\tau'_r(M') = \tau'_r(M) \cdot \prod_{\xi=1}^{\nu} \tau'_r(L(n_\xi, 1)). \tag{2.4}$$

Applying Proposition 2.3 to  $M'$  and the lens spaces, we obtain topological invariants  $\lambda_n(M') \in \mathbb{Z}_{(\max\{|H_1(M'; \mathbb{Z})|, 2n+1\})}$  and  $\lambda_n(L(n_\xi, 1)) \in \mathbb{Z}_{(2n+1)}[1/n_\xi]$  for  $n=0, 1, 2, \dots$ . Noting that  $n_\xi \cdot \lambda_0(L(n_\xi, 1)) = 1$  (see Remark 1.4),  $n_\xi \cdot \tau'_r(L(n_\xi, 1))$  is invertible in  $\mathbb{Q}[[q-1]]$  and the  $n$ -th coefficient of the inverse belongs to  $\mathbb{Z}_{(2n+1)}[1/n_\xi]$ . Since  $|H_1(M', \mathbb{Z})| = |H_1(M; \mathbb{Z})| \cdot \prod n_\xi$  holds and the Legendre symbol is multiplicative under product, by (2.4) we have (2.3) for  $M$  with series of  $\lambda_n(M) \in \mathbb{Z}_{(\max\{|H_1(M; \mathbb{Z})|, 2n+1\})}$ . By topological invariance of  $\tau'_r(M)$ ,  $\lambda_n(M)$  is a topological invariant of  $M$ , i.e. does not depend on a choice of a set of lens spaces. Hence we obtain Theorem 1.1 in this case, completing the proof.  $\square$

In order to diagonalize a linking matrix we need the following lemma, whose proof will be given in Sect. 4.

**Lemma 2.4.** *Let  $A$  be a symmetric non-singular integral matrix. Then there exist integers  $n_1, n_2, \dots, n_\nu$  with  $|n_\xi| \leq |\det A|$  and a unimodular matrix  $P$*

such that

$${}^tP \cdot (A \oplus (n_1) \oplus (n_2) \oplus \cdots \oplus (n_v)) \cdot P$$

is a diagonal matrix. Here we mean the block sum by  $\oplus$ .

Let  $M$  be any closed oriented 3-manifold, and let  $\mathcal{L}$  be a framed link such that  $M$  is obtained by integral surgery along  $\mathcal{L}$ . By applying the above lemma to the linking matrix of  $\mathcal{L}$ , we obtain the following corollary.

**Corollary 2.5.** *For any closed oriented 3-manifold  $M$ , there exist lens spaces  $L(n_1, 1), L(n_2, 1), \dots, L(n_v, 1)$  with  $n_\xi \leq |H_1(M; \mathbb{Z})|$  such that  $M \# L(n_1, 1) \# L(n_2, 1) \# \cdots \# L(n_v, 1)$  can be obtained by integral surgery along some algebraically split framed link.*

### 3. Proof of Proposition 2.3

This section is devoted to proving Proposition 2.3. We prove it modifying the proof in [16] which dealt with integral homology 3-spheres. In this section we will proceed as follows. Since we want the expansion of  $\tau'_r(M)$  in  $q - 1$ , we must expand  $J_{L, \mathbf{k}}$  in (2.1), which can be replaced with Jones polynomial as in (2.2); recall  $X(L; t)$  is normalized Jones polynomial such that  $X(\text{trivial link}; t) = 1$ . The most difficulty we have is that the range of the sum in (2.2) depends on  $r$  while we want to get coefficients which are independent of  $r$  in the expansion of  $\tau'_r(M)$  in  $q - 1$ . The key to avoid the difficulty is to replace  $X(L; t)$  with  $\Phi(L; t)$  whose coefficients in the expansion at  $t = 1$  vanish for sufficiently large parallel  $L$  of a link, where the sum runs over parallels of a link. Proposition 3.1 replaces  $X(L)$  with  $\Phi(L)$ , and Proposition 3.2 shows the vanishing of the coefficients of  $\Phi(L)$ . Proposition 3.3 shows that the last line in (3.2) will be divisible sufficiently many times by  $q - 1$  when it is substituted in (2.2). By using these three propositions we can express  $\tau'_r(M)$  as a sum whose range concerning  $L$  does not depend on  $r$  as in (3.3). Proposition 3.4 expands the rest part not concerning  $L$  in (3.3) into a power series in  $q - 1$ ; it is merely numerical calculation.

We fix arbitrary positive integer  $n$  and suppose  $r \geq 2n + 3$ . We replace  $X$  in (2.2) as follows. Take Taylor expansion of  $X(L^{\mathbf{k}-2\mathbf{j}-1}; t)$  at  $t = 1$  and put  $t = q$ , then we have

$$\begin{aligned} X(L^{\mathbf{k}-2\mathbf{j}-1}; q) &= \sum_{d=0}^{\mu(r-3)/2+n} \frac{X^{(d)}(L^{\mathbf{k}-2\mathbf{j}-1})}{d!} (q-1)^d \\ &+ O\left((q-1)^{\mu(r-3)/2+n+1}; \mathbf{Z} \begin{bmatrix} 1 \\ 2 \end{bmatrix}\right) \end{aligned} \tag{3.1}$$

where  $\mu = \#L$ . Now we have the following three proposition.

**Proposition 3.1** ([16]). *Let  $L$  be an algebraically split link and let  $n$  be any positive integer. Then the following formula holds.*

$$X^{(d)}(L) = \begin{cases} 1 & \text{if } d = 0 \\ 0 & \text{if } d = 1 \\ \sum_{l=1}^{d-1} \sum_{\substack{\#L'=d-l \\ L' \subset L}} \Phi^{(d)}(L') & \text{if } 2 \leq d \leq n+1 \\ \sum_{l=1}^n \sum_{\substack{\#L'=d-l \\ L' \subset L}} \Phi^{(d)}(L') \\ -(-1)^{d+n} \sum_{v=1}^{d-n-1} (-1)^v \binom{\#L-v-1}{d-v-n-1} \sum_{\substack{\#L'=v \\ L' \subset L}} X^{(d)}(L') & \text{if } d \geq n+2 \end{cases} \quad (3.2)$$

**Proposition 3.2** ([16]). *Suppose  $L$  is an algebraically split link and contains  $m$ -parallel (i.e. there exists an algebraically split link  $\hat{L}$  such that  $L$  is obtained from  $\hat{L}$  by taking  $m$ -parallel with 0-framing on some component of  $\hat{L}$ ). Then we have  $\Phi^{(d)}(L) = 0$  if  $0 \leq d \leq \#L + m - 1$ .*

**Proposition 3.3** ([13, 16]). *For any  $d, v$  and  $\mathbf{i}$  satisfying  $1 \leq v = |\mathbf{i}| \leq d - n - 1$ , we have*

$$\begin{aligned} & \sum_{\mathbf{k}=1}^{(r-1)/2} q^{\bar{4}\mathbf{f}(\mathbf{k}^2-1)} [\mathbf{k}] \sum_{\mathbf{j}=0}^{(\mathbf{k}-1)/2} (-1)^{\mathbf{j}} \binom{\mathbf{k}-\mathbf{j}-\mathbf{1}}{\mathbf{j}} [2]^{\mathbf{k}-2\mathbf{j}-1} \\ & \times \binom{|\mathbf{k}-2\mathbf{j}-\mathbf{1}| - v - 1}{d - v - n - 1} \binom{\mathbf{k}-2\mathbf{j}-\mathbf{1}}{\mathbf{i}} \\ & = O((q-1)^{\mu(r-3)/2-d+n+1}; \mathbb{Z}). \end{aligned}$$

We substitute (3.1) to (2.2), and replace  $X^{(d)}$  with  $\Phi^{(d)}$  by Proposition 3.1. Then some terms vanish by Proposition 3.2, and other terms become remainder terms by Proposition 3.3. (For concrete calculations, see [16].) Hence we have the following formula.

$$\begin{aligned} \tau'_r(M) &= (-1)^{\bar{\sigma}(\mathcal{L})} q^3 \cdot \bar{4}\sigma(\mathcal{L}) - \bar{2}\mu \left( \frac{q-1}{G(q)} \right)^\mu \\ & \times \sum_{\mathbf{k}=1}^{(r-1)/2} q^{\bar{4}\mathbf{f}(\mathbf{k}^2-1)} [\mathbf{k}] \sum_{\mathbf{j}=0}^{(\mathbf{k}-1)/2} (-1)^{\mathbf{j}} \binom{\mathbf{k}-\mathbf{j}-\mathbf{1}}{\mathbf{j}} [2]^{\mathbf{k}-2\mathbf{j}-1} \\ & \times \left( 1 + \sum_{l=1}^n \sum_{\mathbf{i}=0}^l \binom{\mathbf{k}-2\mathbf{j}-\mathbf{1}}{\mathbf{i}} \frac{\Phi^{(l+|\mathbf{i}|)}(L^{\mathbf{i}})}{(l+|\mathbf{i}|)!} (q-1)^{l+|\mathbf{i}|} \right) \\ & + O\left( (q-1)^{n+1}; \mathbb{Z} \left[ \frac{1}{2} \right] \right) \\ & = \prod_{\xi=1}^{\mu} \left( -\text{sign}(f_\xi) \left( \frac{\text{sign}(f_\xi)}{r} \right) \right) q^3 \cdot \bar{4} \text{sign}(f_\xi) - \bar{2} \frac{q-1}{G(q)} \end{aligned}$$

$$\begin{aligned}
 & \times \sum_{k_\xi=1}^{(r-1)/2} q^{\bar{4}f_\xi(k_\xi^2-1)} [k_\xi] \sum_{j_\xi=0}^{(k_\xi-1)/2} (-1)^{j_\xi} \\
 & \times \binom{k_\xi - j_\xi - 1}{j_\xi} [2]^{k_\xi - 2j_\xi - 1} + q^3 \cdot \bar{4}\sigma(\mathcal{L}) - \bar{2}\mu \sum_{l=1}^n \sum_{i=0}^l \frac{\Phi^{(l+|i|)}(L^i)}{(l+|i|)!} (q-1)^l \\
 & \times \prod_{\xi=1}^{\mu} \left( -\text{sign}(f_\xi) \left( \frac{\text{sign}(f_\xi)}{r} \right) \frac{(q-1)^{i_\xi+1}}{G(q)} \sum_{k_\xi=1}^{(r-1)/2} q^{\bar{4}f_\xi(k_\xi^2-1)} [k_\xi] \right. \\
 & \times \sum_{j_\xi=0}^{(k_\xi-1)/2} (-1)^{j_\xi} \binom{k_\xi - j_\xi - 1}{j_\xi} \binom{k_\xi - 2j_\xi - 1}{i_\xi} [2]^{k_\xi - 2j_\xi - 1} \Big) \\
 & + O\left((q-1)^{n+1}; \mathbf{Z} \left[ \frac{1}{2} \right] \right). \tag{3.3}
 \end{aligned}$$

Since  $\prod \text{sign}(f_\xi) \cdot \prod f_\xi = \prod |f_\xi| = |H_1(M; \mathbf{Z})|$ , we use Proposition 3.4 below to obtain the following formula.

$$\begin{aligned}
 \tau'_r(M) &= \left( \frac{|H_1(M; \mathbf{Z})|}{r} \right) \prod_{\xi=1}^{\mu} \left( -\text{sign}(f_\xi) q^{\bar{2}-\bar{4}f_\xi+3} \cdot \bar{4} \text{sign}(f_\xi) \cdot \frac{q^{-\bar{f}_\xi} - 1}{q-1} \right) \\
 &+ \left( \frac{|H_1(M; \mathbf{Z})|}{r} \right) q^3 \cdot \bar{4}\sigma(\mathcal{L}) - \bar{2}\mu \sum_{l=1}^n \sum_{i=0}^l \frac{\Phi^{(l+|i|)}(L^i)}{(l+|i|)!} (q-1)^l \\
 &\times \prod_{\xi=1}^{\mu} \left( -\text{sign}(f_\xi) \sum_{m_\xi=0}^{n-l} h_{f_\xi, i_\xi, m_\xi} (q-1)^{m_\xi} \right) \\
 &+ O\left((q-1)^{n+1}; \mathbf{Z}_{(r-1)} \left[ \frac{1}{|H_1(M; \mathbf{Z})|} \right] \right).
 \end{aligned}$$

Hence we reformed the formula of  $\tau'_r(M)$  ( $r \geq 2n + 3$ ) to a formula consisting of terms which are independent of  $r$  and higher terms. We can check that the coefficient of  $(q-1)^n$  belongs to  $\mathbf{Z}_{(\max\{|H_1(M; \mathbf{Z})|, 2n+1\})}$ . This completes the proof of Proposition 2.3.  $\square$

**Proposition 3.4.** *For each non-zero integer  $f$  and each non-negative integer  $m$  and  $i$  there exists  $h_{f,i,m} \in \mathbf{Z}_{(2i+2m+1)}[1/f]$  such that the following formula holds for any  $f, i$  and any odd prime  $r$  which is not a prime factor of  $f$  and satisfies  $r \geq 2i + 3$ .*

$$\begin{aligned}
 & \sum_{k=1}^{(r-1)/2} q^{\bar{4}f(k^2-1)} [k] \sum_{j=0}^{(k-1)/2} (-1)^j \binom{k-j-1}{j} \binom{k-2j-1}{i} \\
 & \times [2]^{k-2j-1} \times \frac{(q-1)^{i+1}}{G(q)} \\
 & = \left( \frac{f}{r} \right) \sum_{m=0}^{(r-1)/2-i-1} h_{f,i,m} (q-1)^m + O\left((q-1)^{(r-1)/2-i}; \mathbf{Z}_{(r-1)} \left[ \frac{1}{f} \right] \right)
 \end{aligned}$$

In particular for  $i = 0$  the left-hand side of the above formula is equal to

$$\left(\frac{f}{r}\right) q^{1-\bar{4}f} \cdot \frac{q^{-\bar{f}} - 1}{q - 1}$$

*Proof.* We can easily show

$$[k] = \sum_{j=0}^{(k-1)/2} (-1)^j \binom{k-j-1}{j} [2]^{k-2j-1}$$

by induction on  $k$ . Then, in the case  $i = 0$ , we have

$$\begin{aligned} \text{LHS} &= \sum_{k=1}^{(r-1)/2} q^{\bar{4}f(k^2-1)} [k]^2 \times \frac{q-1}{G(q)} \\ &= \frac{q^{1-\bar{4}f}}{(q-1)G(q)} \sum_{k=1}^{(r-1)/2} (q^{\bar{4}fk^2+k} + q^{\bar{4}fk^2-k} - 2q^{\bar{4}fk^2}) \\ &\quad \left( \text{recall } [k] = \frac{q^{k/2} - q^{-k/2}}{q^{1/2} - q^{-1/2}} \right) \\ &= \frac{q^{1-\bar{4}f}}{(q-1)G(q)} \sum_{k=1}^{r-1} (q^{\bar{4}fk^2+k} - q^{\bar{4}fk^2}) \\ &\quad (\text{replacing } k \text{ by } r-k \text{ in some terms}) \\ &= \frac{q^{1-\bar{4}f}}{(q-1)G(q)} \sum_{k=0}^{r-1} (q^{\bar{4}fk^2-\bar{f}} - q^{\bar{4}fk^2}) \\ &\quad (\text{replacing } k \text{ by } k-2\bar{f} \text{ in the first term}) \\ &= \left(\frac{4f}{r}\right) q^{1-\bar{4}f} \cdot \frac{q^{-\bar{f}} - 1}{q - 1} \end{aligned}$$

Since  $\left(\frac{4f}{r}\right) = \left(\frac{f}{r}\right)$ , we obtain the required formula.

In the case  $i \geq 1$  we can obtain the required result in a similar way as in [16].  $\square$

#### 4. Proof of Lemma 2.4

In this section we prove Lemma 2.4. For a similar proof for  $\mathbb{Z}/r\mathbb{Z}$ -homology spheres, see [14].

Let  $G$  be a finite Abelian group. A *linking pairing* on  $G$  is a non-singular symmetric bilinear map of  $G \times G$  to  $\mathbb{Q}/\mathbb{Z}$ . For a non-singular symmetric integral  $n \times n$  matrix  $A$ , we have an induced linking pairing  $\phi$  on  $\mathbb{Z}^n/A\mathbb{Z}^n$  defined by  $\phi([v], [v']) = {}^t v A^{-1} v'$  for  $v, v' \in \mathbb{Z}^n$  whose images in  $\mathbb{Z}^n/A\mathbb{Z}^n$  are denoted by  $[v], [v']$ ; note that the right-hand side of this formula is well-defined in  $\mathbb{Q}/\mathbb{Z}$ .

We denote this linking pairing by  $\iota(A)$ . It is known [11, 3] that if two non-singular symmetric integral matrices  $A_1, A_2$  give the same linking pairing  $\iota(A_1)$  and  $\iota(A_2)$  then there exists a unimodular integral matrix  $P$  such that

$${}^tP \cdot (A_1 \oplus (\pm 1) \oplus \cdots \oplus (\pm 1)) \cdot P = A_2 \oplus (\pm 1) \oplus \cdots \oplus (\pm 1).$$

The set of linking pairing becomes an Abelian semigroup with respect to direct sum. Generators and relations of the semigroup are known [20, 8]. The generators in [20] are:

$$\begin{aligned} & [1/p^k], [d_p/p^k] \quad \text{on } \mathbb{Z}/p^k\mathbb{Z} \\ & \text{for } p \text{ odd primes, } d_p \text{ a quadratic non-residue modulo } p \\ & [1/2] \quad \text{on } \mathbb{Z}/2\mathbb{Z}, \quad [1/2^2], [-1/2^2] \quad \text{on } \mathbb{Z}/2^2\mathbb{Z} \\ & [1/2^k], [-1/2^k], [3/2^k], [-3/2^k] \quad \text{on } \mathbb{Z}/2^k\mathbb{Z} \quad \text{for } k \geq 3 \\ & E_0^k \quad \text{on } \mathbb{Z}/2^k\mathbb{Z} \oplus \mathbb{Z}/2^k\mathbb{Z} \quad \text{for } k \geq 1 \\ & E_1^k \quad \text{on } \mathbb{Z}/2^k\mathbb{Z} \oplus \mathbb{Z}/2^k\mathbb{Z} \quad \text{for } k \geq 2 \end{aligned}$$

where we denote by  $[a/b]$  a linking pairing  $\phi$  on  $\mathbb{Z}/b\mathbb{Z}$  defined by  $\phi([v], [v']) = avv'/b$  for  $v, v' \in \mathbb{Z}$  and we define  $E_0^k$  and  $E_1^k$  by

$$E_0^k([v], [v']) = {}^t v \begin{pmatrix} 0 & 2^{-k} \\ 2^{-k} & 0 \end{pmatrix} v', \quad E_1^k([v], [v']) = {}^t v \begin{pmatrix} 2^{1-k} & 2^{-k} \\ 2^{-k} & 2^{1-k} \end{pmatrix} v'$$

for  $v, v' \in \mathbb{Z} \oplus \mathbb{Z}$ .

*Proof of Lemma 2.4.* By the above observation, we can reduce Lemma 2.4 to the following lemma, which means  $A \oplus (\bigoplus_{\xi} (n_{\xi})) \oplus (\oplus (\pm 1))$  can be diagonalized to a matrix with diagonal entries  $m_1, \dots, m_{\mu}, \pm 1, \dots, \pm 1$ , putting  $\phi = \iota(A)$ .  $\square$

**Lemma 4.1.** *Let  $\phi$  be a linking pairing on a finite Abelian group  $G$ . Then there exists integers  $n_1, n_2, \dots, n_{\nu}$  and  $m_1, m_2, \dots, m_{\mu}$  such that*

$$\phi \oplus \iota \left( \bigoplus_{\xi=1}^{\nu} (n_{\xi}) \right) = \iota \left( \bigoplus_{\eta=1}^{\mu} (m_{\eta}) \right)$$

and  $|n_{\xi}| \leq |G|$  hold where we mean the order of  $G$  by  $|G|$ .

*Proof.* We will show that  $\phi$  can be deformed to a form  $\iota(\bigoplus_{\xi=1}^{\nu} (n_{\xi})) \oplus \iota(\bigoplus_{\eta=1}^{\mu} (m_{\eta}))$  where we mean removal of direct summand by  $\ominus$ . We will proceed by induction on the greatest prime factor of  $|G|$ .

Since  $\phi$  is equal to a direct sum of some generators given above, it suffices to show this lemma when  $\phi$  is equal to each generator.

If  $\phi = [1/p^k]$ , then we have  $\phi = \iota((p^k))$ .

If  $\phi = [d_p/p^k]$ , then we have

$$\begin{aligned}\phi &= 2[1/p^k] \ominus [d_p/p^k] \\ &= \iota(2(p^k)) \oplus [p^k/d_p] \ominus \iota((p^k d_p))\end{aligned}$$

using a relation  $2[1/p^k] = 2[d_p/p^k]$  in [20] and a relation  $\iota((ab)) = [a/b] \oplus [b/a]$  (for coprime integers  $a$  and  $b$ ) which can be obtained by easy calculation. By the hypothesis of induction we can deform  $[p^k/d_p]$  to a required form, completing this case.

If  $\phi = [\pm 1/2^k]$ , then we have  $\phi = \iota((\pm 2^k))$ .

If  $\phi = [\pm 3/2^k]$  (for  $k \geq 3$ ), then we have

$$\begin{aligned}\phi &= 2[\mp 1/2^k] \ominus [\pm 3/2^k] \\ &= \iota(2(\mp 2^k)) \ominus (\iota((\pm 3 \cdot 2^k)) \ominus [\pm 2^k/3]) \\ &= \iota(2(\mp 2^k)) \oplus (\pm(-1)^k \cdot 3) \ominus \iota((\pm 3 \cdot 2^k))\end{aligned}$$

using a relation  $2[\pm 3/2^k] = 2[\mp 1/2^k]$  in [8] and  $[\pm 2^k/3] = [\pm(-1)^k/3]$ , completing this case.

If  $\phi = E_0^k$  or  $E_1^k$ , then we have

$$\begin{aligned}E_0^k &= ([1/2^k] \oplus [-1/2^k]) \ominus [-1/2^k] \\ &= \iota((2^k)) \oplus 2(-2^k) \ominus \iota((-2^k)) \\ E_1^k &= 3[1/2^k] \ominus [3/2^k] \\ &= \iota(3(2^k)) \oplus ((-1)^k \cdot 3) \ominus \iota((3 \cdot 2^k))\end{aligned}$$

using relations in [8], completing the proof.  $\square$

## 5. Values of lens spaces

*Example 5.1.* Let  $\alpha$  be an odd positive integer,  $\beta$  a coprime positive integer, then the value of lens space  $L(\alpha, \beta)$  is as follows;

$$\tau(L(\alpha, \beta)) = t^{-3 \cdot s(\beta, \alpha)} \cdot \frac{t^{1/2\alpha} - t^{-1/2\alpha}}{t^{1/2} - t^{-1/2}}$$

where  $s(\beta, \alpha)$  is Dedekind sum, that is,

$$s(\beta, \alpha) = \sum_{k=1}^{\alpha-1} \frac{k}{\alpha} \left( \frac{k\beta}{\alpha} - \left[ \frac{k\beta}{\alpha} \right] - \frac{1}{2} \right).$$

The above formula of  $\tau(L(\alpha, \beta))$  follows from the following proposition in the same way as in Example 1.6.

**Proposition 5.2** ([10, 5]). *If  $r$  is an odd prime and  $\alpha$  is odd and not divisible by  $r$ , then the value of quantum  $SO(3)$  invariant of lens space is given by the*

following formula;

$$\tau'_r(L(\alpha, \beta)) = \left(\frac{\alpha}{r}\right) q^{-(3 \cdot s(\beta, \alpha))} \checkmark \frac{q^{\bar{2}\alpha} - q^{-2\alpha}}{q^{\bar{2}} - q^{-\bar{2}}}.$$

where we denote  $a\bar{b}$  by  $(a/b)^\checkmark$  and  $\bar{\alpha}$  is the inverse of  $\alpha$  in  $\mathbb{Z}/2r\mathbb{Z}$ .

*Remark 5.3.* As far as seeing the above values of lens spaces, our invariant  $\tau(M)$  describes the asymptotic behavior of quantum  $SO(3)$  invariant  $\tau'_r(M)$ . By Lemma 5.4 below,  $\tau'_r(L(\alpha, \beta))$  has a rational expression in  $q^{\bar{2}\alpha}$ , recall that  $q$  is  $\exp(2\pi\sqrt{-1}/r)$  and  $\bar{2}\alpha$  is the inverse of  $2\alpha$  in  $\mathbb{Z}/r\mathbb{Z}$ . The series  $q^{\bar{2}\alpha}$  ( $r = 3, 5, 7, 11, \dots$ ) splits into subsequences, each of which is a series converges to  $\zeta_k = \exp(k\pi\sqrt{-1}/\alpha)$ , because  $(q^{\bar{2}\alpha})^{2\alpha} = q$  converges to 1. According to the splitting, the series  $\tau'_r(L(\alpha, \beta))$  ( $r = 3, 5, 7, 11, \dots$ ) splits into subsequences, each of which follows the asymptotic behavior of  $\pm\tau(L(\alpha, \beta))$  around  $t^{1/2\alpha} = \zeta_k$ . Namely, we can obtain the asymptotic formula of  $\tau'_r(L(\alpha, \beta))$  by expanding  $\pm\tau(L(\alpha, \beta))$  at  $t^{1/2\alpha} = \zeta_k$ .

Hence  $\pm\tau(L(\alpha, \beta))$  is a formula which was sought by Freed–Gompf [4] and Jeffrey [6]; they investigated the asymptotic formula of quantum  $SU(2)$  invariants of lens spaces in order to compare the formula with the asymptotic formula predicted by perturbation of path integral. Note that we can express quantum  $SU(2)$  invariant using quantum  $SO(3)$  invariant, see Remark 2.2.

**Lemma 5.4.** *If  $\alpha$  is odd, then we have*

$$3 \cdot s(\beta, \alpha) \equiv 0 \pmod{\frac{1}{2\alpha}}.$$

*Proof.*

$$\begin{aligned} 3 \cdot s(\beta, \alpha) &\equiv 3 \sum_{k=1}^{\alpha-1} \frac{k}{\alpha} \left( \frac{k\beta}{\alpha} - \frac{1}{2} \right) \\ &= \frac{3\beta}{\alpha^2} \cdot \frac{1}{6} \alpha(\alpha-1)(2\alpha-1) - \frac{3}{2\alpha} \cdot \frac{1}{2} \alpha(\alpha-1) \\ &= \frac{1}{4\alpha} (\alpha-1)(2\beta(2\alpha-1) - 3\alpha) \end{aligned}$$

Since  $\alpha - 1$  is even, we have the required formula. □

*Remark 5.5.* Proposition 5.2 was generalized by Takata [18] to quantum  $PSU(N)$  invariant. Her formula is as follows.

$$\tau_r^{PSU(N)}(L(\alpha, \beta)) = \left(\frac{\alpha}{r}\right)^{N-1} q^{-(N(N^2-1) \cdot s(\beta, \alpha)/2)} \checkmark \frac{[\bar{\alpha}]^{N-1} [2\bar{\alpha}]^{N-2} \dots [(N-1)\bar{\alpha}]}{[1]^{N-1} [2]^{N-2} \dots [N-1]}$$

By this formula we could expect that the same argument might go for quantum  $PSU(N)$  invariant. If we could define a polynomial invariant “ $\tau^{PSU(N)}(M)$ ”, it

must satisfy

$$\begin{aligned}\tau^{PSU(N)}(L(\alpha, \beta)) &= t^{-N(N^2-1)} \cdot s(\beta, \alpha)^{1/2} \frac{[1/\alpha]_t^{N-1} [2/\alpha]_t^{N-2} \cdots [(N-1)/\alpha]_t}{[1]_t^{N-1} [2]_t^{N-2} \cdots [N-1]_t} \\ &= \alpha^{-N(N-1)/2} \left( 1 - \frac{N(N^2-1)}{2} s(\beta, \alpha)(t-1) + \cdots \right)\end{aligned}$$

where  $[k]_t = (t^{k/2} - t^{-k/2})/(t^{1/2} - t^{-1/2})$ . This formula suggests that “ $SU(N)$  Casson invariant  $\lambda^{SU(N)}(M)$ ” might satisfy

$$\begin{aligned}\lambda^{SU(N)}(L(\alpha, \beta)) &= -\frac{N(N^2-1)}{12} s(\beta, \alpha) \\ &= \binom{N+1}{3} \lambda(L(\alpha, \beta))\end{aligned}$$

if we could define it.

*Acknowledgements.* The author would like to thank Hitoshi Murakami for valuable conversations, and Toshie Takata for the values of quantum invariants of lens spaces. He is also grateful to the referee for useful suggestions and comments.

## References

1. Axelrod, S., Singer, I.M.: Chern–Simons perturbation theory. Proceedings of the XXth international conference on differential geometric methods in theoretical physics, World Scientific, 1991
2. Axelrod, S., Singer, I.M.: Chern–Simons perturbation theory II (Preprint)
3. Durfee, A.H.: Bilinear and quadratic forms on torsion modules. *Adv. Math.* **25** (1977) 133–164
4. Freed, D.S., Gompf, R.E.: Computer calculation of Witten’s 3-manifold invariant. *Commun. Math. Phys.* **141** (1991) 79–117
5. Garoufalidis, S.: Relations among 3-manifold invariants (Preprint)
6. Jeffery, L.C.: Chern–Simons–Witten invariants of lens spaces and torus bundles, and the semiclassical approximation. *Commun. Math. Phys.* **147** (1992) 563–604
7. Jones, V.F.R.: A polynomial invariant for knots via von Neumann algebras. *Bull. Am. Math. Soc.* **12** (1985) 103–111
8. Kawauchi, A., Kojima, S.: Algebraic classification of linking pairings on 3-manifolds. *Math. Ann.* **253** (1980) 29–42
9. Kirby, R., Melvin, P.: The 3-manifold invariants of Witten and Reshetikhin–Turaev for  $sl(2, \mathbb{C})$ . *Invent. Math.* **105** (1991) 473–545
10. Kirby, R., Melvin, P.: Quantum invariants of lens spaces and a Dehn surgery formula. *Abstracts of the AMS* **12** (1991) 435
11. Kneser, M., Puppe, P.: Quadratische Formen und Verschlingungsinvarianten von Knoten. *Math. Z.* **58** (1953) 376–384
12. Kontsevich, M.: Feynman diagrams and low-dimensional topology (Preprint)
13. Murakami, H.: Quantum  $SU(2)$ -invariants dominate Casson’s  $SU(2)$ -invariant. *Math. Proc. Camb. Phil. Soc.* **115** (1994) 253–281
14. Murakami, H.: Quantum  $SO(3)$ -invariants dominate the  $SU(2)$ -invariant of Casson and Walker. *Math. Proc. Camb. Phil. Soc.* **117** (1995) 237–249
15. Murakami, H., Ohtsuki, T., Okada, M.: Invariants of three-manifolds derived from linking matrices of framed links. *Osaka J. Math.* **29** (1992) 545–572

16. Ohtsuki, T.: A polynomial invariant of integral homology 3-spheres. *Math. Proc. Camb. Phil. Soc.* **117** (1995) 83–112
17. Reshetikhin, N.Yu., Turaev, V.G.: Invariants of 3-manifolds via link polynomials and quantum groups. *Invent. Math.* **103** (1991) 547–597
18. Takata, T.: On Witten  $SU(n)$  invariants for lens spaces (Preprint)
19. Walker, K.: An extension of Casson's invariant. *Annals of Mathematics Studies*, 126, Princeton University Press, Princeton, 1992
20. Wall, C.T.C.: Quadratic forms on finite groups, and related topics. *Topology* **2** (1964) 281–298
21. Witten, E.: Quantum field theory and the Jones polynomial. *Commun. Math. Phys.* **121** (1989) 351–399